

Title of paper:	Governing Body Assurance Framework and Risk Register
Meeting:	Governing Body, 18 th July 2014
Author:	Justin Dix, Governing Body Secretary
email:	justin.dix@surreydownsccg.nhs.uk
Exec Lead:	Karen Parsons, Chief Operating Officer

Purpose	To Agree	
	To Advise	
	To Note	

Summary

At its last meeting in June The Governing Body received an outturn report on the 2013-14 Assurance Framework and a position statement on the Risk Register.

Following the Audit Committee on the 27th June it was agreed that the Governing body Assurance Framework should be kept as a high level document directly aligned to the six clinical priorities and four non-clinical priorities and all risks on the risk register mapped to it.

The attached assurance framework is a first draft for discussion but has been reviewed directly by the relevant risk managers and virtually with members of the Executive.

The work to review the risk register and ensure that it maps to the new Assurance framework will be completed during July.

The Governing Body is asked to discuss the attached and if necessary make recommendations to the Executive Committee about the assurance framework going forward.

Attachments / References:

- Draft 2014/15 Assurance Framework

Implications for wider governance

Quality and patient safety: Quality and Patient safety risks will be reviewed by the Clinical Quality Committee in August as part of the above.

Patient and Public Engagement: None specific

Equality Duty: There is a risk on the risk register regarding achievement of the CG's Equality Duty.

Finance and resources: Finance and resource are reviewed by the Executive Committee. Financial outturn is one of the risks on the assurance framework.

Communications Plan: This paper is available on the CCG web site.

Legal or compliance issues: The Assurance Framework and Risk Register are a part of the Annual Governance Statement and the overall system of internal controls. A number of individual risks relate to statutory duties.

Risk and Assurance: The CCG's approaches to risk management and risk tolerance are still maturing however the CCG received very positive assurance on its system of internal controls for 2013/14.