

Document

Purpose

Level of oversight

Committees

Assurance Framework

Established at start of year to describe risks to principal objectives

The **Governing Body** focuses on the Assurance Framework and the risks to the organisation's principal objectives as set out in its business plan. However specific risks on the corporate risk register may need to be debated at Governing Body level, and the Governing Body should be guided and advised by the Executive Committee on this.

The CCG's Committees have a key role in reviewing risks in their area. **The Audit, Risk and Corporate Governance Committee** has a particular role in monitoring and recommending changes to the CCG's systems for risk management, based on an understanding of assurance and controls and driving actions where necessary through audit.

Corporate Risk Register

Rolling year on year register of operational risks

The **Executive Committee** focuses on the Corporate Risk Register and the risks to the delivery of the business on a day to day basis. It will ensure that this process of risk management is firmly set in the context of the Governing Body's stated principal objectives and that risk appetite reflects the direction that the Governing Body has set.

Local Risk Register

Risk register for localities and specific projects / work areas

The **Localities and specific areas or projects** will maintain local risk registers which escalate appropriately to the corporate risk register and ensure that this process of risk management is embedded at the most fundamental level of the organisation. Staff should be trained to identify and raise risks and deal with them appropriately.

