

<b>Title of paper:</b>	Risk Register
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<b>Date:</b>	27 <sup>th</sup> September 2013
<b>Locality:</b>	All
<b>Meeting:</b>	Governing Body
<b>Agenda item:</b>	9
<b>For:</b>	Discussion

**Key issues**

- The risk register is continuously updated and both individual risks and the register as a whole are reviewed by the Executive Committee.
- The risk register for this month, to aid with ease of presentation, has been slimmed down to a small number of critical areas. The full description for each risk is contained on the risk templates. These are attached for all “red” risks i.e. those scoring 15 or over.
- The balance of risk is as follows:
  - 8 risks are rated high (red)
  - 14 risks are rated medium (amber)
  - 2 risks are rated low (green)
- However: of the red risks five are new, and it is normal for these to start high before mitigating actions are fully explored and described.
- The trend since the last Governing Body is as follows:
  - 5 risks have deteriorated
  - 12 have remained static
  - 2 have improved
  - 5 are new

24 Total Risks

### **Actions taken or in hand**

- The separate and detailed risk register for Continuing Health Care (CHC) has also been extensively reviewed and made more concise. Its main function is to provide a clear picture of the risks in relation to continuing care that can be reviewed and assessed with other CCGs for whom Surrey Downs provides a hosted service.

### **Changes since the July Governing Body**

#### Removals:

SD045 – IAPT (Integrated Approach to Psychological therapies). The backlog for this service has been cleared and the new commissioned services are functioning well. This was risk managed in detail by the Executive Committee who have agreed it can be removed from the risk register.

#### Additions:

- SD052 – Health Care Acquired Infections
- SD054 - Epsom hub capacity and surge planning
- SD055 - SASH hub capacity and surge planning
- SD056 - Kingston hub capacity and surge planning
- SD057 – EDICS

Two further additions not yet approved by the Executive Committee will appear on the next iteration of the register:

- SD058 – GP IT
- SD059 – Generic Safeguarding issues

SD052 reflects a real risk with infection which is covered in the Quality Report.

SD054 – 56 all relate to surge and capacity (winter) planning and reflect the need to manage the winter period effectively through joined up working around acute hospital hubs and the CCG's four localities.

SD057 related to safety of patient records as a result of the cessation of the EDICS contract

**Committees and other groups that have considered this paper:** (please list the names and dates of other committees or groups who have discussed this issue)

**Recommendation(s):** The Governing Body is asked to discuss the Risk Register and comment on any need for amendments.

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**Implications for wider governance**

**Quality and patient safety:** As set out in the individual register entries.

**Patient and Public Engagement:** Lay members for Patient and Public engagement have direct input into the risk register on the Executive Committee.

**Equality analysis:** No EA has been carried out on this paper.

**Finance and resources:** As set out in the individual register entries.

**Workforce:** As set out in the individual register entries.

**Statutory compliance:** The risk register is an essential component of the Annual Governance Statement. It is also being audited this autumn along with the Governing Body Assurance Framework and the risk strategy.

**Conflicts of interest:** None known

**Risk and assurance:** The Governing Body is asked to discuss the Risk Register and comment on any need for amendments.

**Communications Plan:** This paper is on the CCG website

**Accompanying papers:** Risk register – latest iteration. Also full risk descriptions for all risks scoring 15 +