

**Risk review date: 16<sup>th</sup> August 2013**

Risk ID	SD0022
Risk Area	Financial
Risk Owner	Chief Finance Officer
Main responsible Committee	Audit, Corporate Governance and Risk Committee
Risk Manager	Head of Finance
Title	Allocation Process for 2013-2014
Risk Description	Adverse shift in resources from allocation process or other in-year changes such as changes to Specialist Commissioning
Source of risk	This risk arises from the lack of control over NHS Commissioning Board allocation processes. As a transition year some aspects of the core allocation process were not clear at the beginning of April and there is particular uncertainty around specialist commissioning.
Effect of risk	This could lead to an unquantifiable reduction in budgets, although there is also the possibility of increased allocations as well.
Assurance	The CCG is actively pursuing the Area Team for information on allocation processes. The risk is also factored in to budgeting processes within the CCG to give sufficient headway to deal with possible issues.
Gaps in assurance	Lack of control over external processes and timescales e.g. Specialist Commissioning - this is of more concern since the last period due to the scale of potential charges for Surrey CCGs emerging from London specialist commissioners
Controls	Governing Body Oversight; Executive Team Oversight (weekly reporting); ACG&R Committee Oversight.
Gaps in controls	None known.
Actions with timescales	Negotiation as a group of CCGs with NHS England.
Comments on risk appetite	This risk is being actively managed

Risk scoring					
Opening position		Last update		Revised	
Pre mitigation impact score	4	Last impact score	4	Proposed impact score	
Pre mitigation Likelihood score	4	Last likelihood score	3	Proposed likelihood score	
Net initial score	16	Net last score	12	Proposed new net score	

