

Risk review date: Aug 2013

Risk ID	SD0046
Risk Area	Clinical
Risk Owner	Chief Operating Officer
Main responsible Committee	Clinical Governance, Clinical Quality and Safety Committee
Risk Manager	Head of Continuing Care
Title	Continuing Care Retrospective Reviews and potential claims
Risk Description	Risk that Continuing Healthcare team will not be able to adequately perform their day to day work; Risk that provision in the balance sheet is not sufficient to cover the liability
Source of risk	The complex nature and volume of applications for retrospective payments; reviews are ongoing and the total number and value of claims is unknown
Effect of the risk	Patients and family may wait for a long time for the result of their application and payment; risk that the nature and scale of retrospective applications will impact on the CCG's ability to remain in financial balance.
Assurance	There have been considerable developments in the work of the CHC Team including regular performance reporting
Gaps in assurance	None known
Controls	Governing Body Oversight; Executive Team Oversight; Clinical Quality Committee Oversight, CHC reference group. The CHC team also has an effective system of internal controls including a discrete risk register to highlight when this risk is becoming real
Gaps in controls	None known
Actions with timescales	CHC External review taking place, will report in October
Comments on risk appetite	This risk is being actively managed

Risk scoring					
Opening position		Last update		Revised	
Pre mitigation impact score	4	Last impact score	4	Proposed impact score	
Pre mitigation Likelihood score	4	Last likelihood score	4	Proposed likelihood score	
Net initial	16	Net last score	16	Proposed new	16

score			net score	
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